

TO/91/0

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
(for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	211456US0
First Inventor or Application Identifier	Yoshinori MA, et al.
Title	PROCESS FOR PREPARING AQUEOUS DISPERSION OF PIGMENT-CONTAINING POLYMER PARTICLES
Assignee Name:	Kao Corporation
Assignee Address:	14-10, 1-chome, Kayaba-cho, Nihonbashi, Chuo-ku, Tokyo, Japan

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	2. <input checked="" type="checkbox"/> Specification	Total Sheets <input type="text" value="29"/>	3. <input type="checkbox"/> Formal Drawing(s) (35 U.S.C. 113)	Total Sheets <input type="text"/>	4. <input checked="" type="checkbox"/> Oath or Declaration	Total Pages <input type="text" value="4"/>	5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program ( <i>Appendix</i> )	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission ( <i>if applicable, all necessary</i> )	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement ( <i>when there is an assignee</i> )	<input type="checkbox"/> Power of Attorney
a. <input checked="" type="checkbox"/> Newly executed (original)	b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation / divisional w/ box 17 completed)	i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).	10. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )	11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations							
c. <input type="checkbox"/> Computer Readable Form (CRF)	d. <input type="checkbox"/> Specification or Sequence Listing on:	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or	12. <input type="checkbox"/> Preliminary Amendment	13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard	14. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (1) ( <i>if foreign priority is claimed</i> )							
e. <input type="checkbox"/> Statements verifying identity of above copies	f. <input type="checkbox"/> Prior art submitted under 37 CFR 1.97	ii. <input type="checkbox"/> Paper	15. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	16. <input checked="" type="checkbox"/> Other: Notice of Priority								

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application no.:

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- This application is a  Continuation  Division  Continuation-in-part (CIP)  
of application Serial No. Filed on
- Which was published in English
- Which was not published in English
- This application claims priority of provisional application Serial No. Filed

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1c978 US 504887  
07/16/01

Docket No. 211456US0

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Yoshinori HAMA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: PROCESS FOR PREPARING AQUEOUS DISPERSION OF PIGMENT-CONTAINING POLYMER PARTICLES

**FEE TRANSMITTAL**

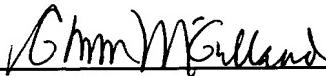
ASSISTANT COMMISSIONER FOR PATENTS  
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FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	15 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	5 - 3 =	2	× \$80 =	\$160.00
■ MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$270.00
□ LATE FILING OF DECLARATION			+ \$130 =	\$0.00
			BASIC FEE	\$710.00
			TOTAL OF ABOVE CALCULATIONS	\$1,140.00
□ REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
□ FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
□ RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$1,140.00

- Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
- A check in the amount of **\$1,140.00** to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
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